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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ATTY. DOCKET NO. 067183-0149

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JAN 16 1998

GROUP 2100

In re Patent Application of  
Yuichiro TAKAMIZAWA, et al.

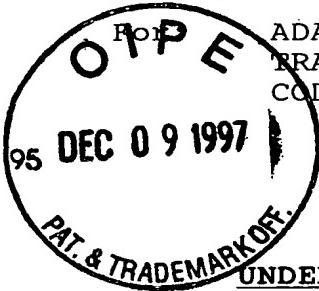
Serial No. 08/886,470

Group Art Unit: 271

Filed: July 1, 1997

Examiner: Unknown

ADAPTIVE TRANSFORM CODING SYSTEM, ADAPTIVE  
TRANSFORM DECODING SYSTEM AND ADAPTIVE TRANSFORM  
CODING/DECODING SYSTEM



COMBINED CERTIFICATION and  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on a modified Form PTO-1449 is a listing of documents known to applicant in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§ 1.97-1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

It is believed that the instant Information Disclosure Statement is being filed before the mailing date of a first Office Action, and in any event, it is being filed before a final Office Action under 37 C.F.R. § 1.113. Accordingly, pursuant to 37 C.F.R. § 1.97(c), a certification is included herewith.

CERTIFICATION

The undersigned hereby certifies in accordance with 37 C.F.R. § 1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO-1449 was cited as being relevant during the prosecution of the counterpart foreign application. A copy of a European Search Report is attached, setting forth the portion of each document considered relevant by the examiner. The document is in English.

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Applicants respectfully request that all listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with M.P.E.P. § 609.

Respectfully submitted,

December 9, 1997

  
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